

**Distributional equations and  
the entropy principle**

Hans Wilhelm Alt

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Plan of the talk:

- Entropy principle
- Example: Gravity
- Distributions in spacetime
- Limit of phase field models

**Zeroth Law** There exists for every thermodynamic system in equilibrium a property called temperature. Equality of temperature is a necessary and sufficient condition for thermal equilibrium.

**First Law** There exists for every thermodynamic system a property called the energy. The change of energy of a system is equal to the mechanical work done on the system in an adiabatic process. In a non-adiabatic process, the change in energy is equal to the heat added to the system minus the mechanical work done by the system.

**Second Law** There exists for every thermodynamic system in equilibrium an extensive scalar property called the entropy,  $S$ , such that in an infinitesimal reversible change of state of the system,  $dS = dQ/T$ , where  $T$  is the absolute temperature and  $dQ$  is the amount of heat received by the system. The entropy of a thermally insulated system cannot decrease and is constant if and only if all processes are reversible.

[MIT, Lecture on Thermodynamics (Spakovszky, Fall 2008)]  
(This is the so-called “axiomatic formulation”)

**Zeroth Law** There exists for every thermodynamic system in equilibrium a property called temperature. Equality of temperature is a necessary and sufficient condition for thermal equilibrium.

$\theta$  absolute temperature

**First Law** There exists for every thermodynamic system a property called the energy. ...

$e$  (total) energy

$$\partial_t e + \operatorname{div} \varphi = \dots$$

**Second Law** There exists for every thermodynamic system in equilibrium an extensive scalar property called the entropy, ... The entropy of a thermally insulated system cannot decrease and is constant if and only if all processes are reversible.

$$\partial_t \eta + \operatorname{div} \psi \geq 0 \quad \eta \text{ entropy}$$

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$$\eta'_\varepsilon = \frac{1}{\theta} \quad (dS = dQ/T), \quad \varepsilon \text{ internal energy}$$

# Entropy principle

For a set  $\mathcal{P}$  of processes: There exists  $(\eta, \psi)$  with

$$h := \partial_t \eta + \operatorname{div} \psi \geq 0 \quad (\text{objective scalar equation})$$

for each process in  $\mathcal{P}$

( $\eta$  the entropy,  $\psi$  the entropy flux, constitutive relations for  $(\eta, \psi)$ )

**Basic assumptions come from this principle**

**Example 1 : System of hyperbolic conservation laws**

$$\partial_t u_k + \operatorname{div} q_k(u) = f_k(u) \quad (k = 1, \dots, N)$$

**Constitutive ansatz** :  $\eta = \hat{\eta}(u)$  ,  $\psi = \hat{\psi}(u)$

$$\partial_t \eta = \sum_k \eta'_{i_k} \partial_t u_k$$

$$\eta'_{i_k} \partial_t u_k = \underbrace{\eta'_{i_k}}_{\lambda_k = \text{multiplier}} \cdot \underbrace{(\partial_t u_k + \operatorname{div} q_k - f_k)}_{=0 \text{ for solutions}} - \eta'_{i_k} \operatorname{div} q_k + \eta'_{i_k} f_k$$

$$h := \partial_t \eta + \operatorname{div} \psi = \operatorname{div} \psi - \sum_k \eta'_{i_k} \operatorname{div} q_k + \sum_k \eta'_{i_k} f_k$$

$$\begin{aligned}
 h &:= \partial_t \eta + \operatorname{div} \psi = \operatorname{div} \psi - \sum_k \eta'_{l k} \operatorname{div} q_k + \sum_k \eta'_{l k} f_k \\
 &= \sum_l \left( \psi_{l l} - \sum_k \eta'_{l k} q_{k l} \right) \cdot \nabla u_l + \sum_k \eta'_{l k} f_k
 \end{aligned}$$

**Requirement:** For all solutions of the system

$$h = \sum_l \left( \psi_{l l}(u) - \sum_k \eta'_{l k}(u) q_{k l}(u) \right) \cdot \nabla u_l + \sum_k \eta'_{l k}(u) f_k(u) \geq 0$$

**Conclusion :** Entropy principle satisfied, if

$$\psi_{l l}(u) = \sum_k \eta'_{l k}(u) q_{k l}(u) \text{ for all } l$$

$$\sum_k \eta'_{l k}(u) f_k(u) \geq 0$$

**Special case of a single equation :**  $\psi$  ergibt sich aus  $\psi' = \eta' q'$

**General case :**  $\psi$  exists if and only if

$$\sum_k \eta'_{l k m} q_{k l} \quad \text{symmetric in } l, m$$

**Consequence :** Strong connection between entropy terms and terms of the differential equations

## Example 2 : Compressible fluid

$$\partial_t \varrho + \operatorname{div}(\varrho v) = 0$$

$$\partial_t(\varrho v) + \operatorname{div}(\varrho v v^T + \Pi) = \mathbf{f} \quad (\Pi \text{ pressure tensor})$$

$$\partial_t e + \operatorname{div}(e v + \Pi^T v + q) = v \bullet \mathbf{f} \quad (e \text{ total energy})$$

$$e = \varepsilon + \frac{\varrho}{2} |v|^2 \quad (\varepsilon \text{ inner energy})$$

Outcome of entropy principle is :

$$\Pi = p \operatorname{Id} - S \quad (p \text{ pressure, } S \text{ tension tensor})$$

Constitutive ansatz :  $\eta = \hat{\eta}(\varrho, \varepsilon)$  ( $\eta$  objective scalar)

$$\partial_t \eta = \eta'_{\varrho} \partial_t \varrho + \eta'_{\varepsilon} \partial_t \varepsilon, \quad \partial_t \varepsilon = \frac{|v|^2}{2} \partial_t \varrho - v \bullet \partial_t(\varrho v) + \partial_t e$$

$$\partial_t \eta = \underbrace{(\eta'_{\varrho} + \eta'_{\varepsilon} \frac{|v|^2}{2})}_{\lambda_{\varrho}} \partial_t \varrho + \underbrace{(-\eta'_{\varepsilon} v)}_{\lambda_v} \partial_t(\varrho v) + \underbrace{\eta'_{\varepsilon}}_{\lambda_e} \partial_t e$$

$$\dot{\eta} = \eta'_{\varrho} \dot{\varrho} + \eta'_{\varepsilon} \dot{\varepsilon} \quad (\dot{\cdot} = \partial_t + v \bullet \nabla \quad \text{substantial derivative})$$

$$\dot{\varrho} + \varrho \operatorname{div} v = 0, \quad \dot{\varepsilon} + \varepsilon \operatorname{div} v = -\operatorname{div} q - Dv \bullet \Pi \quad (\text{no force})$$

Use the differential equations and obtain

$$\begin{aligned}
 h &:= \partial_t \eta + \operatorname{div} \psi = \dot{\eta} + \eta \operatorname{div} v + \operatorname{div}(\psi - \eta v) \\
 &= \eta'_{\varrho} \dot{\varrho} + \eta'_{\varepsilon} \dot{\varepsilon} + \eta \operatorname{div} v + \operatorname{div}(\psi - \eta v) \\
 &= \operatorname{div}(\psi - \eta v) - \eta'_{\varepsilon} \operatorname{div} q \\
 &\quad + \operatorname{D}v \bullet \left( (\eta - \varrho \eta'_{\varrho} - \varepsilon \eta'_{\varepsilon}) \operatorname{Id} - \eta'_{\varepsilon} \Pi \right) \\
 &= \operatorname{div}(\psi - \eta v - \eta'_{\varepsilon} q) \\
 &\quad + \operatorname{D}v \bullet \left( (\eta - \varrho \eta'_{\varrho} - \varepsilon \eta'_{\varepsilon}) \operatorname{Id} - \eta'_{\varepsilon} \Pi \right) + \nabla \eta'_{\varepsilon} \bullet q
 \end{aligned}$$

hence  $\Pi = p \operatorname{Id} - S$ , if  $\frac{1}{\theta} = \eta'_{\varepsilon} > 0$ , with

$$\eta - \varrho \eta'_{\varrho} - (\varepsilon + p) \eta'_{\varepsilon} = 0$$

$$\begin{aligned}
 h &= \underbrace{\operatorname{div}(\psi - \eta v - \eta'_{\varepsilon} q)}_{\text{flux term}} \\
 &\quad + \underbrace{\eta'_{\varepsilon} \operatorname{D}v \bullet S + \nabla \eta'_{\varepsilon} \bullet q}_{\text{dissipative}}
 \end{aligned}$$

**Requirement** :  $h \geq 0$  for all solutions of the system



$$h = \underbrace{\operatorname{div}(\psi - \eta v - \eta'_{\varepsilon} q)}_{\text{flux term}} + \underbrace{\eta'_{\varepsilon} Dv \bullet S + \nabla \eta'_{\varepsilon} \bullet q}_{\text{dissipative}}$$

**Requirement** :  $h \geq 0$  for all solutions of the system

**Conclusion** : Entropy principle satisfied, if  $\Pi = p\operatorname{Id} - S$  and

$$\begin{aligned} \psi &= \eta v + \eta'_{\varepsilon} q && \text{(Entropy flux)} \\ \eta &= \varrho \eta'_{\varrho} + (\varepsilon + p) \eta'_{\varepsilon} && \text{(Gibbs relation)} \\ \eta'_{\varepsilon} Dv \bullet S + \nabla \eta'_{\varepsilon} \bullet q &\geq 0 && \text{(Dissipative terms)} \end{aligned}$$

The entropy identity is

$$h = \partial_t \eta + \operatorname{div}(\eta v + \eta'_{\varepsilon} q) = \underbrace{\eta'_{\varepsilon} Dv \bullet S + \nabla \eta'_{\varepsilon} \bullet q}_{\text{entropy production}} \geq 0$$

$$\frac{1}{\theta} = \eta'_{\varepsilon} > 0$$

## Consider Newton's law :

$$\partial_t \varrho + \operatorname{div}(\varrho v) = 0 \quad (\varrho \text{ total mass})$$

$$\partial_t(\varrho v) + \operatorname{div}(\varrho v v^T + \Pi) = \mathbf{f} \quad (\Pi \text{ pressure tensor})$$

$$-\Delta \phi = \varrho \quad (\phi \text{ "gravitational potential"})$$

$$\mathbf{f} = g \varrho \nabla \phi + \tilde{\mathbf{f}} \quad (\mathbf{f} \text{ "force", } g \text{ gravity constant})$$

$$g = 4\pi G, \quad G = 6.67384 \frac{\text{m}^3}{\text{kg} \cdot \text{s}^2} \text{ for } n = 3$$

( $\Phi = -g\phi$  is the gravitational potential)

**Lemma**[2011]: Gravity equation implies

$$\operatorname{div}\left(\nabla \phi (\nabla \phi)^T - \frac{1}{2} |\nabla \phi|^2 \operatorname{Id}\right) = -\varrho \nabla \phi$$

Momentum equation :

$$\partial_t(\varrho v) + \operatorname{div}(\varrho v v^T + \tilde{\Pi}) = \tilde{\mathbf{f}} \quad (\tilde{\mathbf{f}} \text{ may be } 0)$$

$$\tilde{\Pi} = g(\nabla \phi (\nabla \phi)^T - \frac{1}{2} |\nabla \phi|^2 \operatorname{Id}) + \Pi$$

$$\partial_t \varrho + \operatorname{div}(\varrho v) = 0$$

$$\partial_t(\varrho v) + \operatorname{div}(\varrho v v^T + \tilde{\Pi}) = \tilde{\mathbf{f}}, \quad \tilde{\Pi} = g(\nabla\phi(\nabla\phi)^T - \frac{1}{2}|\nabla\phi|^2 \operatorname{Id}) + \Pi$$

$$-\Delta\phi = \varrho$$

Energy conservation (traditional) :

$$\partial_t e + \operatorname{div}(ev + \Pi^T v + q) = v \bullet \mathbf{f}, \quad e = \varepsilon + \frac{\varrho}{2}|v|^2$$

( $\varepsilon$  internal energy)

**Lemma**[2011]: Gravity equation and mass equation implies

$$\begin{aligned} \partial_t \left( \frac{|\nabla\phi|^2}{2} - \varrho\phi \right) + \operatorname{div} \left( \left( \frac{|\nabla\phi|^2}{2} - \varrho\phi \right) v - \dot{\phi} \nabla\phi \right. \\ \left. + \left( \nabla\phi(\nabla\phi)^T - \frac{1}{2}|\nabla\phi|^2 \operatorname{Id} \right) v \right) = -v \bullet (\varrho \nabla\phi) \end{aligned}$$

Energy conservation :

$$\partial_t \tilde{e} + \operatorname{div}(\tilde{e}v + \tilde{\Pi}^T v + \tilde{q}) = v \bullet \tilde{\mathbf{f}} \quad (\tilde{\mathbf{f}} \text{ may be } 0)$$

$$\tilde{e} = e + g \left( \frac{|\nabla\phi|^2}{2} - \varrho\phi \right), \quad \tilde{q} = q - g \dot{\phi} \nabla\phi$$

The traditional entropy principle :

$$\text{constitutive ansatz : } \eta = \hat{\eta}(\varrho, \varepsilon), \quad \psi = \eta v + \frac{1}{\theta} q, \quad \frac{1}{\theta} = \eta'_{\varepsilon}$$

$$h := \partial_t \eta + \operatorname{div} \psi = -Dv \bullet S$$

$$\Pi = p \operatorname{Id} - S, \quad \eta = \varrho \eta'_{\varrho} + (\varepsilon + p) \eta'_{\varepsilon}$$

**Conclusion** : The gravity does not change the entropy principle, but it changes the energy identity

$$\partial_t \tilde{e} + \operatorname{div} (\tilde{e} v + \tilde{\Pi}^T v + \tilde{q}) = v \bullet \tilde{f}$$

$$\tilde{e} = \underbrace{\varepsilon + g \left( \frac{|\nabla \phi|^2}{2} - \varrho \phi \right)}_{\text{internal energy}} + \frac{\varrho}{2} |v|^2$$

total energy

$$\tilde{\Pi} = \nabla \phi (\nabla \phi)^T - \frac{1}{2} |\nabla \phi|^2 \operatorname{Id} + \Pi, \quad \tilde{q} = q - \dot{\phi} \nabla \phi$$

Equations with constant temperature  $\theta$

There is no equation for the energy

## Free energy inequality

There is an  $(f, \varphi)$  with

$$g := \partial_t f + \operatorname{div} \varphi - g_0 \leq 0$$

$g_0$  contains external terms (objectivity)

( $f$  the total free energy,  $\varphi$  the corresponding flux)

**Derivation:** In the situation with an energy equation take

$$f := e - \theta \eta, \quad \eta'_{,\varepsilon} = \frac{1}{\theta}$$

$$q \longrightarrow \infty$$

and compute, by eliminating  $q$ ,

# Isothermal limit

$$h := \partial_t \eta + \operatorname{div} \psi \geq 0 \quad (\text{Second law})$$

Entropy production contains “heat flux”:  $\psi = \eta v + \frac{1}{\theta} q + \dots$

$$\partial_t \eta + \operatorname{div}(\eta v + \frac{1}{\theta} q + \dots) = h \geq \nabla \left( \frac{1}{\theta} \right) \cdot q \geq 0$$

$$\partial_t e + \operatorname{div}(e v + q + \dots) = g_0 \quad (\text{First law})$$

$\Rightarrow$

$$\partial_t \eta + \operatorname{div}(\eta v + \dots) + \frac{1}{\theta} \operatorname{div} q = \underbrace{h - \nabla \left( \frac{1}{\theta} \right) \cdot q}_{= h_1 \geq 0} \geq 0$$

$$\partial_t e + \operatorname{div}(e v + \dots) + \operatorname{div} q = g_0$$

$\Rightarrow$

$$\begin{aligned} & (\partial_t e - \theta \partial_t \eta) + (\operatorname{div}(e v + \dots) - \theta \operatorname{div}(\eta v + \dots)) \\ & = g_0 - \theta \cdot h_1 \leq g_0 \quad (\text{since } \theta \geq 0) \end{aligned}$$

Hence for the free energy  $f = e - \theta \eta$  in the isothermal limit

$$\partial_t f + \operatorname{div}(f v + \dots) - g_0 = -\theta h_1 \leq 0$$

**Surfaces:**  $(t, x) \in \Gamma \iff x \in \Gamma_t$

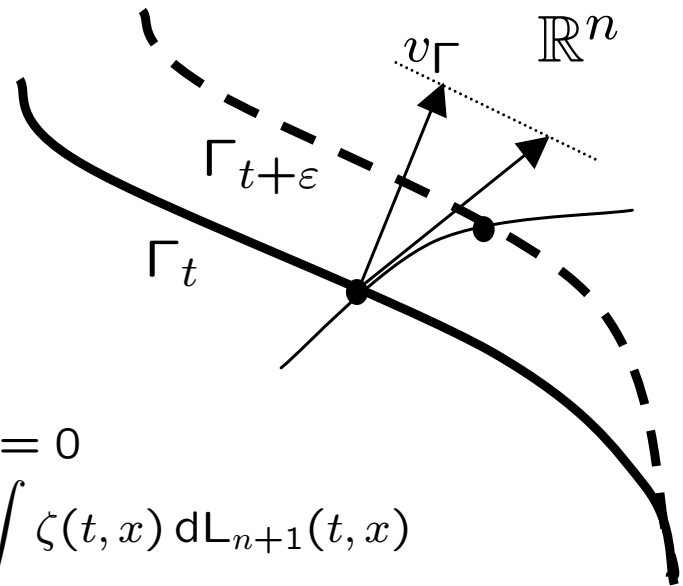
$\Gamma \subset \mathbb{R} \times \mathbb{R}^n$   $(m+1)$ -dimensional,  $T_{(t,x)}\Gamma \neq \{0\} \times \mathbb{R}^n$

$\Gamma_t := \{x \in \mathbb{R}^n; (t, x) \in \Gamma\}$   $m$ -dimensional,  $0 \leq m \leq n$

$v_\Gamma$  "surface velocity",  $T_{(t,x)}\Gamma = \text{span} \{(1, v_\Gamma(t, x))\} \cup \{0\} \times T_x\Gamma_t$

**Surface measures:**

$$\begin{aligned} \langle \zeta, \mu_\Gamma \rangle &:= \int_{\mathbb{R}} \int_{\Gamma_t} \zeta(t, x) dH_m(x) dL_1(t) \\ &= \int_{\Gamma} \frac{\zeta(t, x)}{\sqrt{1 + |v_\Gamma|^2}} dH_{m+1}(t, x) \end{aligned}$$



Extreme cases  $m = n$  and  $m = 0$ :

$\Omega$  ( $\Omega_t$   $n$ -dimensional = open set)  $v_\Omega = 0$

$$\langle \zeta, \mu_\Omega \rangle = \int_{\mathbb{R}} \int_{\Omega_t} \zeta(t, x) dH_n(x) dL_1(t) = \int_{\Omega} \zeta(t, x) dL_{n+1}(t, x)$$

$\Gamma$  ( $\Gamma_t = \{y_t\}$  0-dimensional = point)  $v_\Gamma = \dot{y}_t$

$$\langle \zeta, \mu_\Gamma \rangle = \int_{\mathbb{R}} \int_{\{y_t\}} \zeta(t, x) dH_0(x) dL_1(t) = \int_{\mathbb{R}} \zeta(t, y_t) dL_1(t)$$

## Theorem (Distributional and strong version)

Let  $t \mapsto \Gamma_t$  be  $m$ -dimensional,  $0 \leq m \leq n$ . The equation

$$\partial_t(e\mu_\Gamma) + \operatorname{div}(q\mu_\Gamma) = f\mu_\Gamma$$

is equivalent to

$q - ev_\Gamma$  tangential on  $\Gamma$

$$\partial_t^\Gamma e - ev_\Gamma \bullet \kappa_\Gamma + \operatorname{div}^\Gamma(q - ev_\Gamma) = f \text{ on } \Gamma$$

$$(q - ev_\Gamma)(t, x) \in T_x \Gamma_t$$

$$\partial_t^\Gamma e + \operatorname{div}^\Gamma q = f$$

Here

$$\Gamma_t := \{x \in \mathbb{R}^n; (t, x) \in \Gamma\}$$

$v_\Gamma(t, x) \in T_x \Gamma_t^\perp$  "surface velocity" of  $\Gamma_t$

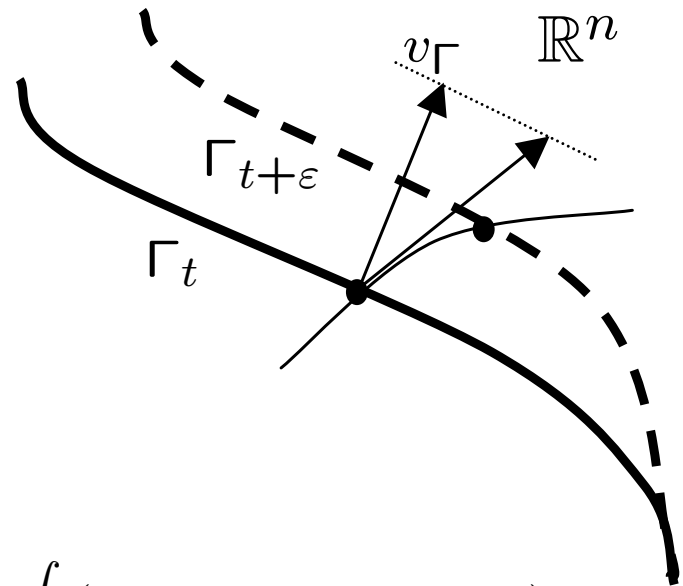
$\kappa_\Gamma(t, x)$   $m$ -times mean curvature of  $\Gamma_t$

$$\partial_t^\Gamma := \partial_t + v_\Gamma \bullet \nabla$$

$$\operatorname{div}^\Gamma := \sum_{i=1, \dots, m} \tau_k \bullet \partial_{\tau_k}$$

$$\langle \zeta, -\partial_t(e\mu_\Gamma) - \operatorname{div}(q\mu_\Gamma) + f\mu_\Gamma \rangle$$

$$= \langle \partial_t \zeta, e\mu_\Gamma \rangle + \langle \nabla \zeta, q\mu_\Gamma \rangle + \langle \zeta, f\mu_\Gamma \rangle = \int (\partial_t \zeta \cdot e + \nabla \zeta \bullet q + \zeta \cdot f) d\mu_\Gamma$$





## Examples of distributions in $\mathcal{D}'(U)$ , $U \subset \mathbb{R} \times \mathbb{R}^n$ :

**Body  $\Omega$  with mass exchange at the boundary  $\Gamma = \partial\Omega$**

$$\partial_t(\varrho\boldsymbol{\mu}_\Omega) + \operatorname{div}(\varrho v\boldsymbol{\mu}_\Omega) = \tau\boldsymbol{\mu}_\Gamma$$

( $\tau$  mass production at boundary)

$\iff$

$$\begin{aligned} \partial_t\varrho + \operatorname{div}(\varrho v) &= 0 && \text{in } \Omega \\ 0 &= \tau + \varrho(v - v_\Gamma) \bullet \nu_\Omega && \text{on } \Gamma \end{aligned}$$

**PDE as distribution  
Body  $\Omega = U$**

$$\partial_t(\varrho\boldsymbol{\mu}_U) + \operatorname{div}(\varrho v\boldsymbol{\mu}_U) = 0$$

$\iff$

$$\partial_t\varrho + \operatorname{div}(\varrho v) = 0 \quad \text{in all of } U$$

**Mass balance on a membrane  $\Gamma$**

with mass density  $\varrho^s > 0$  and “particle velocity”  $v^s$

$$\partial_t(\varrho^s\boldsymbol{\mu}_\Gamma) + \operatorname{div}(\varrho^s v^s\boldsymbol{\mu}_\Gamma) = 0$$

$\iff$  on  $\Gamma$ :

$$\begin{aligned} v^s - v_\Gamma &\text{ tangential} \\ \partial_t^\Gamma \varrho^s - \varrho^s \kappa_\Gamma \bullet v_\Gamma + \operatorname{div}^\Gamma(\varrho^s(v^s - v_\Gamma)) &= 0 \end{aligned}$$

$\iff$  on  $\Gamma$ :

$$\begin{aligned} v^s - v_\Gamma &\text{ tangential} \\ \partial_t^\Gamma \varrho^s + \operatorname{div}^\Gamma(\varrho^s v^s) &= 0 \end{aligned}$$

$v_\Gamma$  normal velocity vector of  $\Gamma$

$\kappa_\Gamma$   $(n-1)$ -times mean curvature vector of  $\Gamma$

$\partial_t^\Gamma := \partial_t + v_\Gamma \bullet \nabla$  time derivative of  $\Gamma$

An Allen-Cahn model for compressible fluids,  $\varphi$  phase field variable

**Witterstein model** [2010]:

(Conservation of two masses and conservation of momentum)

$$\partial_t \varrho + \operatorname{div}(\varrho v) = 0$$

$$\varrho_\delta^1 = (1 - \varphi)\varrho$$

$$\varrho(\partial_t \varphi + v \bullet \nabla \varphi) = -\mathbf{r}_\delta, \quad \mathbf{r}_\delta := c_\delta(\varrho, \varphi) \frac{\delta f_\delta}{\delta \varphi}$$

$$\varrho_\delta^2 = \varphi \varrho$$

$$\partial_t(\varrho v) + \operatorname{div}(\varrho v v^\top + \Pi_\delta) = \mathbf{f}_\delta, \quad \Pi_\delta = P_\delta - S$$

with

$$P_\delta := (\varrho f_{\delta, \varrho} - f_\delta) \operatorname{Id} + \nabla \varphi (f_{\delta, \nabla \varphi})^\top$$

$$S := \lambda_1(\varrho, \varphi) \operatorname{div} v \operatorname{Id} + \lambda_2(\varrho, \varphi) \left( (\operatorname{D}v)^S - \frac{1}{n} \operatorname{div} v \operatorname{Id} \right)$$

$$f_\delta(\varrho, \varphi, \nabla \varphi) := \frac{1}{\delta} \varrho W(\varphi) + \delta h(\varrho) \frac{|\nabla \varphi|^2}{2} + U(\varrho, \varphi)$$

$W$  has two local minima at 0 and 1,  $U_{, \varphi}(\varrho, 0) = 0$ ,  $U_{, \varphi}(\varrho, 1) = 0$

$$\frac{\delta f_\delta}{\delta \varphi} = \frac{1}{\delta} \varrho W_{, \varphi}(\varphi) - \delta \operatorname{div}(h(\varrho) \nabla \varphi) + U_{, \varphi}(\varrho, \varphi)$$

**Free energy inequality:**  $f = f(\varrho, \varphi, v, \nabla \varphi) = \frac{\varrho}{2} |v|^2 + f_\delta(\varrho, \varphi, \nabla \varphi)$

$$\partial_t f + \operatorname{div}(f v + \Pi_\delta^\top v - \dot{\varphi} f_{, \nabla \varphi}) - v \bullet \mathbf{f}_\delta = -\frac{1}{\varrho} \mathbf{r}_\delta \frac{\delta f_\delta}{\delta \varphi} - \operatorname{D}v \bullet S \leq 0$$

System written for the two masses  $\varrho^1$  and  $\varrho^2$

$$\varrho_\delta^1 = (1 - \varphi)\varrho, \quad \varrho_\delta^2 = \varphi\varrho, \quad \text{or} \quad \varrho = \varrho_\delta^1 + \varrho_\delta^2, \quad \varphi = \frac{\varrho_\delta^2}{\varrho^1 + \varrho^2}$$

becomes in  $U \subset \mathbb{R} \times \mathbb{R}^n$

$$\partial_t(\varrho_\delta^1 \boldsymbol{\mu}_U) + \operatorname{div}(\varrho_\delta^1 v_\delta \boldsymbol{\mu}_U) = \mathbf{r}_\delta \boldsymbol{\mu}_U$$

$$\partial_t(\varrho_\delta^2 \boldsymbol{\mu}_U) + \operatorname{div}(\varrho_\delta^2 v_\delta \boldsymbol{\mu}_U) = -\mathbf{r}_\delta \boldsymbol{\mu}_U$$

$$\partial_t(\varrho v \boldsymbol{\mu}_U) + \operatorname{div}((\varrho v v^\top + \Pi_\delta) \boldsymbol{\mu}_U) = \mathbf{f}_\delta \boldsymbol{\mu}_U$$

**Theorem** [Alt&Witterstein 2011]: In the limit  $\delta \rightarrow 0$  this becomes

$$\partial_t(\varrho^1 \boldsymbol{\mu}_{\Omega^1}) + \operatorname{div}(\varrho^1 v^1 \boldsymbol{\mu}_{\Omega^1}) = \mathbf{r} \boldsymbol{\mu}_\Gamma$$

$$\partial_t(\varrho^2 \boldsymbol{\mu}_{\Omega^2}) + \operatorname{div}(\varrho^2 v^2 \boldsymbol{\mu}_{\Omega^2}) = -\mathbf{r} \boldsymbol{\mu}_\Gamma$$

$$\partial_t\left(\sum_m \varrho^m v^m \boldsymbol{\mu}_{\Omega^m}\right) + \operatorname{div}\left(\sum_m (\varrho^m v^m v^{m\top} + \Pi^m) \boldsymbol{\mu}_{\Omega^m} + \Pi^s \boldsymbol{\mu}_\Gamma\right) = \sum_m \mathbf{f}^m \boldsymbol{\mu}_{\Omega^m}$$

The convergence is in the sense of distributions, in particular

$$(\varrho v v^\top + \Pi_\delta) \boldsymbol{\mu}_U \longrightarrow \sum_m (\varrho^m v^m v^{m\top} + \Pi^m) \boldsymbol{\mu}_{\Omega^m} + \Pi^s \boldsymbol{\mu}_\Gamma$$

$$\Pi^s = -\gamma(\operatorname{Id} - \nu \nu^\top), \quad \gamma \text{ given by an integral over "local coordinates"}$$

where  $U = \Omega^1 \cup \Gamma \cup \Omega^2$

**Proof contains:** For  $\eta \in \mathcal{D}(U; \mathbb{R}^n \times \mathbb{R}^n)$ ,  $U \subset \mathbb{R} \times \mathbb{R}^n$ ,  $U = \Omega_\delta^1 \cup \Gamma_\delta \cup \Omega_\delta^2$

$$\int_U \eta \bullet (\varrho v v^\top + \Pi_\delta) dL_{n+1} = \sum_m \int_{\Omega_\delta^m} \dots dL_{n+1} + \int_{\Gamma_\delta} \dots dL_{n+1}$$

In a small neighbourhood of  $\Gamma$

$$\begin{aligned} \Pi_\delta &= \rho_U \text{Id} + \frac{\delta}{2} \rho_h |\nabla \varphi|^2 \text{Id} + \delta h \nabla \varphi (\nabla \varphi)^\top - \left(\lambda_1 - \frac{\lambda_2}{n}\right) \text{div } v \text{Id} - \lambda_2 (\nabla v)^S \\ &= \frac{1}{\delta} \left( \frac{1}{2} \rho_h |\partial_r \Phi^0|^2 \text{Id} + h |\partial_r \Phi^0|^2 \nu \nu^\top \right. \\ &\quad \left. - \left(\lambda_1 - \frac{\lambda_2}{n}\right) \nu \bullet \partial_r V^0 \text{Id} - \frac{1}{2} \lambda_2 (\nu (\partial_r V^0)^\top + \partial_r V^0 \nu^\top) \right) + \mathcal{O}(1) \end{aligned}$$

$$\begin{aligned} \delta \Pi_\delta \nu &\rightarrow \frac{1}{2} \rho_h |\partial_r \Phi^0|^2 \nu + h |\partial_r \Phi^0|^2 \nu - \left(\lambda_1 - \frac{\lambda_2}{n}\right) \nu \bullet \partial_r V^0 \nu - \lambda_2 \nu \bullet \partial_r V^0 \nu \\ &= \left(\frac{1}{2} \rho_h + h\right) |\partial_r \Phi^0|^2 \nu - \left(\lambda_1 + \frac{(n-1)\lambda_2}{n}\right) \partial_r V^0 = 0 \end{aligned}$$

$$\begin{aligned} (\delta \Pi_\delta)_{\text{tan}} &:= \delta \Pi_\delta - (\delta \Pi_\delta \nu) \nu = \delta \Pi_\delta (\text{Id} - \nu \nu^\top) \\ &\rightarrow \left(\frac{1}{2} \rho_h |\partial_r \Phi^0|^2 - \left(\lambda_1 - \frac{\lambda_2}{n}\right) \nu \bullet \partial_r V^0\right) (\text{Id} - \nu \nu^\top) \\ &= \left(\frac{1}{2} \rho_h (R^0) |\partial_r \Phi^0|^2 - \left(\lambda_1 (R^0, \Phi^0) - \frac{\lambda_2 (R^0, \Phi^0)}{n}\right) \nu \bullet \partial_r V^0\right) (\text{Id} - \nu \nu^\top) \end{aligned}$$

$$\gamma = \int_{\mathbb{R}} \left( -\frac{1}{2} \rho_h (R^0) |\partial_r \Phi^0|^2 + \left(\lambda_1 (R^0, \Phi^0) - \frac{\lambda_2 (R^0, \Phi^0)}{n}\right) \nu \bullet \partial_r V^0 \right) dr$$

The limit behaviour of the free energy is given in the presentation of G. Witterstein

## References

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## Entropy principle involving interfaces

For a set  $\mathcal{P}$  of processes: There exists  $(H, \Psi)$  with

$$\partial_t H + \operatorname{div} \Psi \geq 0 \text{ in } \mathcal{D}'(\mathbb{R} \times \mathbb{R}^n)$$

for each process in  $\mathcal{P}$

objective scalar equation  
defined by rule for test function

(Constitutive relations for  $(H, \Psi)$ )

## Free energy inequality involving interfaces

For a set  $\mathcal{P}$  of processes: There exists  $(F, \Phi)$  with

$$\partial_t F + \operatorname{div} \Phi + G_0 \leq 0 \text{ in } \mathcal{D}'(\mathbb{R} \times \mathbb{R}^n)$$

for each process in  $\mathcal{P}$

objectivity like energy equation

( $G_0$  contains external terms, constitutive relations for  $(F, \Phi)$ )